

CHINA POST GLOBAL (UK) LIMITED

MiFID II Disclosure Document

February 2020

Introduction

This disclosure contains certain information on the duties that China Post Global (UK) Limited (“the Investment Manager”) owes to the Management Company (“ManCo”) of the Market Access SICAV, and the Alternative Investment Fund Managers (“AIFMs”) of the Market Access QIAIF ICAV and the Diversified Strategies QIAIF ICAV (all together, the “Clients”, or individually a “Client”).

This disclosure does not supersede any information disclosed in any investment management agreements between the Investment Manager and the ManCo or the Investment Manager and the AIFMs.

Client categorisation

The Investment Manager will treat each Client as a “professional client” as defined in the FCA Rules. As a professional client, the Clients do not have the protections under the FCA Rules that would otherwise be available to a “retail client” (as defined in the FCA Rules). The Clients have the right to request a different categorisation. However, the scope of the Investment Manager’s FCA Permission prohibits the Investment Manager from providing services to retail clients, and accordingly, the Investment Manager will not be allowed to transact with the Clients as a retail client.

Complaints

If a Client has a complaint about the performance of the Investment Manager’s services, that complaint should be directed to the Compliance Officer of the Investment Manager. The Compliance Officer will deal with the complaint in accordance with the Investment Manager’s complaints procedure, a copy of which is available on request.

As professional clients, the Clients are not eligible complainants and have no right to complain about the Investment Manager to the Financial Ombudsman Service. Similarly, the Clients are unlikely to be eligible for compensation from the Financial Services Compensation Scheme if the Investment Manager is unable to meet its liabilities.

Best execution

The Investment Manager has separately provided the Clients with an updated copy of the Investment Manager’s order execution policy, and the AIFM expressly consented to the Investment Manager’s order execution policy.

Conflicts of interest and inducements

The Investment Manager has in place a conflicts of interest policy which specifies the procedures that it follows and measures that it has adopted to identify, prevent or manage conflicts in a way that ensures the fair treatment of the Clients and the funds.

The Investment Manager notifies that, in certain circumstances, the organisational and administrative arrangements established by the Investment Manager to prevent or manage a particular conflict may not be sufficient to ensure, with reasonable confidence, that the risk of damage to the interests of the Clients will be prevented. In such circumstances, the Investment Manager will disclose to the Client(s), as relevant, information about the conflict or potential conflict, including the general nature or sources of such conflict or potential conflict, and any mitigating actions taken or anticipated by the Investment Manager.

The Investment Manager may, subject to the FCA Rules, pay or be paid a fee or commission, or provide or be provided with a non-monetary benefit in connection with the provision of services by a third-party. The Investment Manager undertakes to inform the Client(s), as relevant, and supply it with all relevant details if such circumstances arise.

Costs and charges

The Investment Manager will provide each of the Clients with an ex-post annual statement of the costs and charges incurred by the Investment Manager in relation to the services that have been provided in relation to each of the Funds.

Recording of telephone conversations

The Investment Manager may record telephone conversations and electronic communications between the Investment Manager and the Clients. Records of telephone conversations and electronic communications will be kept for a period of 5 years (or up to 7 years upon request by the FCA) and will be provided to the Client(s) upon request.